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Docket No: 1008-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| Applicant(s) | Korn, Jeffrey A. | Patent Application |
| Serial No: | 09/666,194 | Group: 2633 |
| Filed: | September 21, 2000 | Examiner: Unknown |
| For: | Process and System for Tunable Filter Optical Train Alignment | |

Date: 2/15/2001 Express Mail Label No: EL 742642676 US

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INFORMATION DISCLOSURE STATEMENT

FEB 22 2001

Technology Center 2600

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This Information Disclosure Statement is submitted:

under 37 CFR 1.129(a), or
(First/Second submission after Final Rejection)

under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of the national stage in international application; or before mailing date of first office action on the merits or before the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. 1.114; whichever occurs last)

under 37 CFR 1.97(c) together with either:

a Statement under 37 CFR 1.97(e), as checked below, or

a \$240.00 fee under 37 CFR 1.17(p), or
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

under 37 CFR 1.97(d) together with:

a Statement under 37 CFR 1.97(e), as checked below, and

a Petition under 37 CFR 1.97(d)(2), and

a \$130.00 petition fee set forth in 37 CFR 1.17(i).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of issue fee)

Applicant requests that the attached IDS and cited reference(s) [] be placed in the application filewrapper.
(Filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

[] Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or

[] No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- [X] Copies of the cited references are enclosed.
- [] Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. [], and references that are not required to be submitted under 37 CFR 1.98.
- [] The listed references were cited in the enclosed International Search Report in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- [] The "concise explanation" requirement for reference AL under 37 CFR 1.98(a)(3) is satisfied by:
- [] the explanation provided on the attached sheet.
- [] the explanation provided in the Specification.
- [] submission of the enclosed International Search Report.
- [] the enclosed English language abstract.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

AXSUN Technologies, Inc.

By

J. Grant Houston

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Dated: February 13, 2001



FEB 15 2001

INFORMATION DISCLOSURE STATEMENT

Atty. Docket No.: 1008-US

Serial No.: 09/666,194

Applicant: Korn, Jeffrey A.

Group: 2633

Filing Date: 09/21/2000

Examiner:

U.S. PATENT DOCUMENTS

| Examiner Initial | | Document Number | Date | Name | Class | Subclass | Filing Date if appropriate |
|------------------|----|-----------------|------|------|-------|----------|----------------------------|
| | AA | | | | | | |
| | AB | | | | | | RECEIVED |
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FOREIGN PATENT DOCUMENTS

| | | Document Number | Date | Country | Class | Subclass | Translation | |
|--|----|-----------------|-------------|---------|-------|----------|-------------|----|
| | | | | | | | Yes | No |
| | AG | 99/63373 | 09 DEC 1999 | WO | G02B | 6/12 | | |
| | AH | | | | | | | |
| | AI | | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.